

数学研究及评论

Mathematical Research with Reviews

Issue 2 (2019) Art.29

© Prior Science Publishing

Han, Guo-Niu (韩国牛)

Hankel continued fraction and its applications

Adv. Math. 303 (2016), 295–321.

评论员：郭迎军 (华中农业大学，武汉)

收稿日期：2019年11月13日

Given a sequence $\mathbf{a} = \{a_n\}_{n \geq 0}$, taking values in a field \mathbb{F} , its *Hankel determinant* of order n is defined by

$$H_n(\mathbf{a}) := \begin{vmatrix} a_0 & a_1 & \cdots & a_{n-1} \\ a_1 & a_2 & \cdots & a_n \\ \vdots & \vdots & \ddots & \vdots \\ a_{n-1} & a_n & \cdots & a_{2n-2} \end{vmatrix}.$$

The Hankel determinants play an important role in the study of the irrationality exponent of real numbers [2, 3]. Hankel determinants of special sequences have been studied in [1, 4, 5, 7]. However, for a given sequence, it is very difficult to obtain its explicit Hankel determinant. Using the Jacobi continued fraction expansion, Han [6] gave short proofs of some Hankel determinant formulas. But the existence of the Jacobi continued fraction requires that all Hankel determinants are nonzero.

In this paper, Han introduced the *Hankel continued fraction*, whose existence and uniqueness are guaranteed without any restrictions on the sequence. More generally, Han defined a super δ -fraction for each positive integer δ . Let $f(x) = a_0 + a_1x +$

$a_2x^2 + \cdots \in \mathbb{F}[[x]]$ be the generating sequence of \mathbf{a} . Then the *super δ -fraction* is a continued fraction of the following form

$$f(x) = \frac{v_0x^{k_0}}{1 + u_1(x)x - \frac{v_1x^{k_0+k_1+\delta}}{1 + u_2(x)x - \frac{v_2x^{k_1+k_2+\delta}}{1 + u_3(x)x - \cdots}}}, \quad (1)$$

where $v_j \neq 0$ are constants, k_j are nonnegative integers and $u_j(x)$ are polynomials of degree less than or equal to $k_{j-1} + \delta - 2$. By convention, 0 is of degree -1 .

When $\delta = 2$ and all $k_j = 0$, the super δ -fraction (1) is the traditional J-fraction. A super 2-fraction is called *Hankel continued fraction (H-fraction, for short)*. Sometimes, we denote $H_n(\mathbf{a})$ by $H_n(f(x))$ if $f(x) = a_0 + a_1x + a_2x^2 + \cdots \in \mathbb{F}[[x]]$. Usually, $a_0 = 1$. The following theorem tells us that the Hankel determinants can also be evaluated by using the Hankel continued fraction.

Theorem 1. (1) Let δ be a positive integer. Each super δ -fraction defines a power series, and conversely, for each power series $f(x)$, the super δ -fraction expansion of $f(x)$ exists and is unique.

(2) Let $f(x)$ be a power series such that its H-fraction is given by (1) with $\delta = 2$. Then, all non-vanishing Hankel determinants of $f(x)$ are given by

$$H_{s_j}(f(x)) = (-1)^{\epsilon_j} v_0^{s_j} v_1^{s_j - s_1} v_2^{s_j - s_2} \cdots v_{j-1}^{s_j - s_{j-1}},$$

where $\epsilon_j = \sum_{i=0}^{j-1} k_i(k_i + 1)/2$ and $s_j = k_0 + k_1 + \cdots + k_{j-1} + j$ for every $j \geq 1$.

In particular, if a power series $f(x)$ over a finite field satisfies a quadratic equation, then the Hankel continued fraction is ultimately periodic.

Theorem 2. Let p be a prime number and $f(x) \in \mathbb{F}_p[[x]]$ be a power series satisfying the following quadratic equation

$$A(x) + B(x)f(x) + C(x)f(x)^2 = 0 \quad (2)$$

where $A(x), B(x), C(x) \in \mathbb{F}_p[[x]]$ are three polynomials with one of the following conditions

- (i) $B(0) = 1, C(0) = 0, C(x) \neq 0$;
- (ii) $B(0) = 1, C(x) = 0$;
- (iii) $B(0) = 1, C(0) \neq 0, A(0) = 0$;

(iv) $B(x) = 0, C(0) = 1, A(x) = -(a_k x^k)^2 + O(x^{2k+1})$ for some $k \in \mathbb{N}$ and $a_k \neq 0$ when $p \neq 2$.

Then, the Hankel continued fraction expansion of $f(x)$ exists and is ultimately periodic. Also, the Hankel determinant sequence $H(f(x)) = \{H_n(f(x))\}_{n \geq 0}$ is ultimately periodic.

It is well known that the simple continued fraction for a real number r is infinite and ultimately periodic if and only if r is a quadratic irrational number. Theorem 2 above can be viewed as a power series analog of Lagrange's theorem for real numbers. Moreover, the converse of the first part of Theorem 2 is an analog of Euler's theorem. That is the following theorem.

Theorem 3. *Let δ be a nonnegative integer and $f(x)$ a power series. If the super δ -continued fraction expansion of $f(x)$ is ultimately periodic, then $f(x)$ satisfies the quadratic equation (2).*

As an application, the periodicity of Hankel determinant sequences for several automatic sequences can be proved. These results can be used for studying irrationality exponents [3].

Theorem 4. *For each pair of positive integers a, b , let*

$$G_{a,b}(x) = \frac{1}{x^{2a}} \sum_{n=0}^{\infty} \frac{x^{2^{n+a}}}{1 - x^{2^{n+b}}} \in \mathbb{F}_2[[x]].$$

Then the Hankel determinant sequence $H(G_{a,b})$ is ultimately periodic.

When $(a, b) = (0, 0)$, Theorem 3 reproves Coon's theorem [4]. When $(a, b) = (2, 1), (2, 0), (1, 1)$, Theorem 3 reproves Han's result [6]. When $(a, b) = (0, 2)$, Theorem 3 reproves the result of Guo, Wu and Wen [5]. $G_{0,2}$ is usually called *regular paperfolding sequence*.

Proposition 5. *Let $\mathbf{r} = \{r_n\}_{n \geq 0}$ be the Rudin-Shapiro sequence defined by $r_0 = 0, r_{2n} = r_n, r_{4n+1} = r_n, r_{4n+3} = 1 - r_{2n+1}$ ($n \geq 0$). Then the Hankel determinant sequence $H(\mathbf{r})$ is ultimately periodic.*

Let $\mathbf{a} = \{a_n\}_{n \geq 0}$ be the Stern's sequence defined by $a_0 = 1, a_1 = 1, a_{2n} = a_n, a_{2n+1} = a_n + a_{n+1}$ ($n \geq 1$). Let $\mathbf{b} = \{b_n\}_{n \geq 0}$ be the twisted version of Stern's sequence defined by $b_0 = 0, b_1 = 1, b_{2n} = -b_n, b_{2n+1} = -(b_n + b_{n+1})$ ($n \geq 1$). Then, the following proposition holds.

Proposition 6. Let $A(x) = \sum_{n=0}^{\infty} a_{n+1}x^n$ and $B(x) = \sum_{n=0}^{\infty} b_{n+1}x^n$. Then

$$H_n(A(x))/2^{n-2} \equiv H_n(B(x))/2^{n-2} \equiv (0, 0, 1, 1)^*(\text{mod } 2)$$

In this paper, many examples and conjectures are listed at the end.

The main work of this paper is a discovery of the Hankel continued fraction whose existence is guaranteed without any restrictions on the sequence. It is a powerful tool to determine the Hankel determinants. This is the innovation of the paper. By H -fraction, the paper gives a description of power series over a finite field that satisfies the quadratic equation, which is analogous to Lagrange's theorem for real number. This is the highlight of the paper. At last, this paper gives a sufficient condition to describe the periodicity of the Hankel determinant sequences. This is an interesting result.

REFERENCES

- [1] Jean-Paul Allouche, Jacques Peyri re, Zhi-Xiong Wen, Zhi-Ying Wen, *Hankel determinants of the Thue-Morse sequence*, Ann. Inst. Fourier, Grenoble, **48** (1998), pp. 1-27.
- [2] Yann Bugeaud, *On the rational approximation to the Thue-Morse-Mahler numbers*, Ann. Inst. Fourier, Grenoble, **61** (2011), pp. 2065-2076.
- [3] Yann Bugeaud, Guo-Niu Han, Zhi-Ying Wen, Jia-Yan Yao, *Hankel Determinants, Pad  Approximations, and Irrationality Exponents*, Int. Math. Res. Notices (IMRN), **5** (2016), pp. 1467-1496.
- [4] Michael Coons, *On the rational approximation of the sum of the reciprocals of the Fermat numbers*, Ramanujan J., **30** (2013), pp. 39-65.
- [5] Ying-Jun Guo, Zhi-Xiong Wen, Wen Wu, *On the irrationality exponent of the regular paperfolding numbers*, Linear Algebra Appl., **446** (2014), pp. 237- 264.
- [6] Guo-Niu Han, *Hankel determinant calculus for the Thue-Morse and related sequences*, J. Number Theory 147 (2015) 374-395.
- [7] Zhi-Xiong Wen, Wen Wu, *Hankel determinant of the Cantor sequence* (in Chinese), Sci. Sin. Math., **44** (2014), pp. 1058-1072.