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Sharp L^p decay of oscillatory integral operators with certain homogeneous polynomial phases in several variables

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Let $n \geq 2$. Consider the following oscillatory integral operator

$$T_\lambda f(x) := \int_{\mathbb{R}^n} e^{i\lambda S(x,y)} \psi(x,y) f(y) dy, \quad x \in \mathbb{R}^n,$$

where $\psi(x, y)$ is a smooth function supported in a compact neighborhood of the origin, $S(x, y)$ is a real-valued phase function on $\mathbb{R}^n \times \mathbb{R}^n$, and λ is a large parameter. For fixed λ , we know that T_λ defines a bounded linear operator from $L^2(\mathbb{R}^n)$ into itself.

Let $\|T_\lambda\|_p$ denote the norm of T_λ as an operator that maps $L^p(\mathbb{R}^n)$ into itself. A basic problem about this operator is determining the optimal rate of decay of the L^p operator norm $\|T_\lambda\|_p$ as λ tends to infinity. In one-dimensional case, Phong and Stein [3, 4, 5, 6] studied the sharp L^2 decay of oscillatory integral operators with phase functions varying from homogeneous polynomials to real-valued analytic functions. In their fundamental work, Phong and Stein also showed the relation between the decay rate and the Newton distance of $S(x, y)$. Later, the sharp L^2 estimate was extended to most C^∞ phases by Rychkov [7] and Greenblatt [1]. For the corresponding L^p decay estimates of T_λ , one can see Shi–Yan [8] and Xiao [9].

In higher dimensional case, Greenleaf et al.[2] established the L^2 decay estimates for oscillatory integral operators T_λ whose phase functions are generic homogeneous polynomials. In this paper, the authors obtain the L^p decay estimates in λ for oscillatory integral operators T_λ with certain homogeneous polynomial phases of degree d , that is,

$$S(x, y) := \sum_{|\alpha|+|\beta|=d} a_{\alpha,\beta} x^\alpha y^\beta.$$

Denoted by $S^d(\mathbb{R}^n \times \mathbb{R}^n)$ the space of all homogeneous polynomials of degree d on $\mathbb{R}^n \times \mathbb{R}^n$. We can assume that the phase function does not contain any monomial terms that are purely functions of x or of y , since these do not affect the operator norm, and we denote the space consisting of such polynomials by $\mathcal{O}^d(\mathbb{R}^n \times \mathbb{R}^n)$. In order to obtain the L^p decay estimates for oscillatory integral operators with homogeneous polynomial phases, we need to consider a family of analytic operators and use complex interpolation.

Definition 1. A homogeneous phase function $S(x, y)$ is said to satisfy the rank one condition if

$$\text{rank}(S''_{xy}(x, y)) \geq 1, \quad \text{for all } (x, y) \neq (0, 0),$$

i.e., if S''_{xy} has at least one nonzero entry at every point in $\mathbb{R}^n \times \mathbb{R}^n \setminus (0, 0)$.

Definition 2. The Hilbert–Schmidt norm of a matrix $A = (a_{ij})$ is defined by

$$\|A\|_{HS} := [\text{Tr}(A \cdot A^T)]^{1/2} = \left(\sum_{i,j} |a_{ij}|^2 \right)^{1/2}.$$

Let $S(x, y)$ be a real-valued homogeneous polynomial in higher dimensions with degree d . For $z = \sigma + it \in \mathbb{C}$, the analytic families of operators are given by

$$T_\lambda^z f(x) := \int_{\mathbb{R}^n} e^{i\lambda S(x,y)} \|S''_{xy}\|_{HS}^z \psi(x, y) f(y) dy, \quad x \in \mathbb{R}^n.$$

In particular, $T_\lambda^0 = T_\lambda$. In this paper, the authors obtain the following results for T_λ^z .

Theorem 3. Let $\sigma_1 = -n/(d-2)$ and $\sigma_2 = (d-2n)/2(d-2)$. If $S(x, y)$ satisfies the rank one condition, then the following L^2 decay estimates hold:

$$\|T_\lambda^z\|_2 \lesssim \begin{cases} \lambda^{-1/2}, & \sigma > \sigma_2, \\ \lambda^{-1/2} \log \lambda, & \sigma = \sigma_2, \\ \lambda^{-[(d-2)\sigma+n]/d}, & \sigma_1 < \sigma < \sigma_2. \end{cases}$$

Here the notation $X \lesssim Y$ means that there exists a positive constant $C > 0$ such that $X \leq CY$.

Theorem 4. Define the operator T_1^z as

$$T_1^z f(x) := \int_{\mathbb{R}^n} e^{iS(x,y)} \|S''_{xy}\|_{HS}^{\sigma_1+it} \psi(x,y) f(y) dy, \quad x \in \mathbb{R}^n.$$

If $\|S''_{xy}\|_{HS}^{1/(d-2)}$ is a norm in $\mathbb{R}^n \times \mathbb{R}^n$, then T_1^z is bounded from $H^1(\mathbb{R}^n)$ into $L^1(\mathbb{R}^n)$ with the operator norm less than $C(1 + |t|)$, and $C > 0$ is a constant independent of the coefficients of $S(x, y)$.

The main result of this paper is stated as follows.

Theorem 5. Suppose that $S(x, y) \in \mathcal{O}^d(\mathbb{R}^n \times \mathbb{R}^n)$ and $d > 2n \geq 4$. If $\|S''_{xy}\|_{HS}^{1/(d-2)}$ is a norm in $\mathbb{R}^n \times \mathbb{R}^n$, then we have

$$\|T_\lambda\|_p \lesssim \begin{cases} \lambda^{-\delta/2}, & d/(d-n) < p < d/n, \\ \lambda^{-\delta/2}(\log \lambda)^\delta, & p = d/n \text{ or } p = d/(d-n), \\ \lambda^{-1/p'}, & 1 < p < d/(d-n), \\ \lambda^{-1/p}, & d/n < p < \infty, \end{cases}$$

where $\delta = \delta(S)$ is the Newton distance of $S(x, y)$. Moreover, the authors show that the decay is sharp when $d/(d-n) < p < d/n$.

The main theorem follows from the interpolation between L^2 - L^2 decay estimate of T_λ^z and the H^1 - L^1 boundedness property of T_λ^z .

The results obtained in this paper are interesting. It is recommended for both students and researchers working on harmonic analysis.

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